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PATENT  
P5635  
0045.0005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Examiner: Not Yet Assigned  
T. Leong et al. )  
Serial No.: 09/722,923 )  
Filed: November 27, 2000 ) Art Unit: 2171  
For: METHOD, SYSTEM, PROGRAM, )  
AND COMPUTER READABLE )  
MEDIUM FOR PROVIDING A )  
DATABASE FOR OBJECT )  
ORIENTED OBJECTS )

Assistant Commissioner of Patents  
Washington, D.C. 20231

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JAN 30 2002  
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Dear Sirs:

In accordance with 37 CFR §§ 1.56, 1.97, and 1.98, enclosed please find Form PTO-1449 listing the attached references which might be deemed material to the examination of the above-identified application.

A. Non-English Language References

- Enclosed is a search report for a counterpart application. The search report Examiner has provided comments on the relevancy of any non-English language references cited in the search report.
- The specification incorporates comments on the relevancy of Non-English language references.
- Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references:

01/28/2002 SS1TH1B1 00000195 500585 09722923

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B.  The information disclosure statement submitted herewith is being filed

- 1) within three months of the filing date of a national application other than a continued prosecution application under 1.53(d);
- 2) within three months of the date of entry into the national stage as set forth in 1.491 in an international application;
- 3) before the mailing date of a first Office Action on the merits; or
- 4) before the mailing date of a first Office Action after filing of a Request for Continued Examination under 1.114.

C.  The information disclosure statement transmitted herewith is being filed *after* the period specified in paragraph B, but before the mailing date of a final action under 1.113, a Notice of Allowance under 1.311, or an action otherwise closing prosecution, and is accompanied by:

- 1) a Statement under 1.97(e); **or**
- 2) a fee of \$180 as set forth in 1.17(p).

D.  The information disclosure statement transmitted herewith is being filed *after* the period specified in paragraph C, but before payment of the issue fee, and is accompanied by:

- 1) a Statement under 1.97(e); **and**
- 2) a fee of \$180 as set forth in 1.17(p).

#### **STATEMENT UNDER 1.97(e)**

I, the person signing below, certify

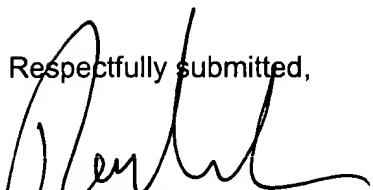
that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign

application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

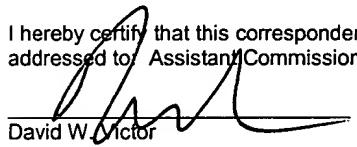
If required under paragraph B or C *supra*, enclosed is Applicant's check in the amount of \$180 as set forth in 1.17(p). Please charge any insufficiency or credit any overpayment to Deposit Account No. 50-0585. A duplicate of this letter is enclosed for fee processing.

Respectfully submitted,

  
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Dated: November 8, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on November 8, 2001.

  
David W. Victor

11/8/01  
(Date)

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INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION  
Use several sheets if necessary)Applicant  
T. Leong et al.Filing Date  
November 27, 2000Group Art Unit  
2171

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6	1	2	8	7	7	1	10/03/00	Tock et al.			

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## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	Translation YES      NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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	Bierman, GM. "Using XML as an Object Interchange Format", May 17, 2000, Univ. of Warwick, pp. 1-13
	Kim, Won. "Research Directions in Object-Oriented Database Systems", 1990, ACM 089791-352-3/90/0004/0001, Austin, Texas, pp. 1-15.
	Bancilhon, Francois. "Object-Oriented Database Systems", 1988, ACM 0-89791-63-2/88/0003/0152, France, pp. 152-162.
	Smith, Karen E. et al. "Intermedia: A Case Study of the Differences Between Relational and Object-Oriented Database Systems", October 4-8, 1987, ACM 0-89791-247-0/87/0010-0452, Providence, Rhode Island, pp. 452-465.
	Li, Qing et al. "Object Flavor Evolution in an Object-Oriented Database System", 1988, ACM 0-89791-261-6/88/0003/0265, Los Angeles, California, pp. 265-275
	Pantziarka, Panagiotis. "Object-Oriented Database Using Frames In Second Generation APL", 1990, ACM 089791-371-X/90/0008/0284, London, pp. 284-287.
	Baroody, Jr., A. James et al. "An Object-Oriented Approach to Database System Implementation", December 1981, ACM Transactions on Database Systems, Vol. 6, No.4, pp. 576-601
	Boll, Susanne, et al. "A Java Application Programming Interface to a Multimedia Enhanced Object-Oriented DBMS" German National Research Center for Information Technology, Germany, pp. 1-11. [Retrieved on 2000-11-17]

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.